

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

020457

7590

01/09/2006

ANTONELLI, TERRY, STOUT & KRAUS, LLP 1300 NORTH SEVENTEENTH STREET SUITE 1800 ARLINGTON, VA 22209-3873 EXAMINER
ALBERTALLI, BRIAN LOUIS

PAPER NUMBER

ART UNIT

DATE MAILED: 01/09/2006

 APPLICATION NO.
 FILING DATE
 FIRST NAMED INVENTOR
 ATTORNEY DOCKET NO.
 CONFIRMATION NO.

 09/942,609
 08/31/2001
 Soren Riis
 1030.40616X00
 7485

TITLE OF INVENTION: METHOD AND SYSTEM FOR SPEECH RECOGNITION

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE 💆
nonprovisional	NO	\$1400	\$300	. \$1700	04/10/2006

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

Page 1 of 3

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

(571) 273-2885 or Fax

should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where

APPLICATION NO. FILING DATE FIRST NAMED INVENTOR 09/942,609 08/31/2001 Soren Riis TITLE OF INVENTION: METHOD AND SYSTEM FOR SPEECH RECOGNITION APPLN. TYPE SMALL ENTITY ISSUE FEE PUBLICATION nonprovisional NO \$1400 \$300 EXAMINER ART UNIT CLASS-SUBCL/ ALBERTALLI, BRIAN LOUIS 2655 704-259000 1. Change of correspondence address or indication of "Fee Address" (37) Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. Tee Address indication (or "Fee Address" Indication form PTO/SB/17; Rev 03-02 or more recent) attached. Use of a Customer Number is required. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignme (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STAT) Please check the appropriate assignee category or categories (will not be printed on the patent): Some Riis	\$1700	tal is being deposited with age for first class mail in E. address above, or beir 5, on the date indicated be (De KET NO. CONFIRMAT X00 7485	(Signature) (Date) (DON NO.			
APPLICATION NO. FILING DATE FIRST NAMED INVENTOR 09/942,609 08/31/2001 Soren Riis FITLE OF INVENTION: METHOD AND SYSTEM FOR SPEECH RECOGNITION APPLN. TYPE SMALL ENTITY ISSUE FEE PUBLICATION APPLN. TYPE SMALL ENTITY ISSUE FEE PUBLICATION APPLN. TYPE SMALL ENTITY ISSUE FEE PUBLICATION ACCOUNTY OF THE PUBLICATION ACCOUNTY OF THE PUBLICATION ACCOUNTY OF THE PUBLICATION ACCOUNTY OF THE PUBLICATION Change of correspondence address or indication of "Fee Address" (37 CFR 1.163). Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. The Address of Indication (or "Fee Address" Indication form PTO/SB/122) attached. Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. Change of correspondence address or indication form PTO/SB/122) attached. Change of correspondence address or indication form Tree Address form pto 13 regist or agents OR, alternatively, (2) the name of up to 3 regist or agents OR, alternatively, (2) the name of up to 3 regist or agents OR, alternatively, (2) the name of up to 3 regist or agents OR, alternatively, (2) the name of up to 3 regist or agents OR, alternatively, (2) the name of up to 3 regist or agents OR, alternatively, (2) the name of up to 3 regist or agents OR, alternatively, (2) the name of up to 3 regist or agents OR, alternatively, (2) the name of up to 3 regist or agents OR, alternatively, (2) the name of up to 3	1030.40616X EE TOTAL FEE(S) \$1700	KET NO. CONFIRMAT X00 7485 DUE DATE D	(Signature) (Date) (TION NO.			
O9/942,609 O8/31/2001 Soren Riis TITLE OF INVENTION: METHOD AND SYSTEM FOR SPEECH RECOGNITION APPLN. TYPE SMALL ENTITY SSUE FEE PUBLICATION: 1 CLASS-SUBCL/ ALBERTALLI, BRIAN LOUIS CR 1.363). 1 Change of correspondence address or indication of "Fee Address" (37 CR 1.363). 1 Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. 1 "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. 3 ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignme (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STAT) Please check the appropriate assignee category or categories (will not be printed on the patent): SIMPLE OF A SUBCLIFICATION	1030.40616X EE TOTAL FEE(S) \$1700	X00 7485	(Date) FION NO. 5			
O9/942,609 08/31/2001 Soren Riis ITLE OF INVENTION: METHOD AND SYSTEM FOR SPEECH RECOGNITION APPLN. TYPE SMALL ENTITY ISSUE FEE PUBLICATION: nonprovisional NO \$1400 \$300 EXAMINER ART UNIT CLASS-SUBCLA ALBERTALLI, BRIAN LOUIS 2655 704-259000 . Change of correspondence address or indication of "Fee Address" (37 Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. . ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignme (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STAT OBE Publication Fee (No small entity discount permitted)	1030.40616X EE TOTAL FEE(S) \$1700	X00 7485	FION NO.			
O9/942,609 08/31/2001 Soren Riis ITLE OF INVENTION: METHOD AND SYSTEM FOR SPEECH RECOGNITION APPLN. TYPE SMALL ENTITY ISSUE FEE PUBLICATION: nonprovisional NO \$1400 \$300 EXAMINER ART UNIT CLASS-SUBCLA ALBERTALLI, BRIAN LOUIS 2655 704-259000 . Change of correspondence address or indication of "Fee Address" (37 Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. . ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignme (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STAT OBE Publication Fee (No small entity discount permitted)	1030.40616X EE TOTAL FEE(S) \$1700	X00 7485	DUE CONTRACTOR			
O9/942,609 08/31/2001 Soren Riis ITLE OF INVENTION: METHOD AND SYSTEM FOR SPEECH RECOGNITION APPLN TYPE	EE TOTAL FEE(S) \$1700	DUE DATE D	DUE 'S			
APPLN TYPE SMALL ENTITY ISSUE FEE PUBLICATION nonprovisional NO \$1400 \$300 EXAMINER ART UNIT CLASS-SUBCLA ALBERTALLI, BRIAN LOUIS 2655 704-259000 Change of correspondence address or indication of "Fee Address" (37 if the names of up to 3 regist or agents OR, alternatively, (2) the name of a single firm (he registered attorney or agent) and 2 registered patent attorneys or listed, no name will be printed. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filling an assignme (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STAT descent the amount of the feels): Clease check the appropriate assignee category or categories (will not be printed on the patent): Class - SUBCLA A check in the amount of the feels Publication Fee (No small entity discount permitted) Payment by credit card. Form The Director is hereby author The Director is hereby aut	EE TOTAL FEE(S) \$1700	DUE DATE D	DUE 'S			
REAMINER ART UNIT CLASS-SUBCLA	\$1700					
REAMINER ART UNIT CLASS-SUBCLA	\$1700					
ALBERTALLI, BRIAN LOUIS Change of correspondence address or indication of "Fee Address" (37 in the name of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. The Address indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignme (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STAT lease check the appropriate assignee category or categories (will not be printed on the patent): I Issue Fee Publication Fee (No small entity discount permitted) Advance Order - # of Copies	· ·	04/10/2	2006			
ALBERTALLI, BRIAN LOUIS Change of correspondence address or indication of "Fee Address" (37 FR 1.363). Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. Tree Address indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STAT delease check the appropriate assignee category or categories (will not be printed on the patent): Issue Fee Publication Fee (No small entity discount permitted) Advance Order - # of Copies	30					
Change of correspondence address or indication of "Fee Address" (37 Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignme (A) NAME OF ASSIGNEE By RESIDENCE: (CITY and STATE of Colors) Color Color Color	ss					
Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)	•					
(A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STAT	the names of up to agents. If no name is 3					
(A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE and	an assignee is identified bel	low, the document has be	een filed fo			
a. The following fee(s) are enclosed: Issue Fee Publication Fee (No small entity discount permitted) Advance Order - # of Copies 4b. Payment of Fee(s): A check in the amount of the function Payment by credit card. Form						
☐ Issue Fee ☐ A check in the amount of the fine Publication Fee (No small entity discount permitted) ☐ Payment by credit card. Form ☐ Advance Order - # of Copies ☐ The Director is hereby author	al Corporation or other	private group entity	Governmen			
☐ Publication Fee (No small entity discount permitted) ☐ Payment by credit card. Form ☐ Advance Order - # of Copies ☐ The Director is hereby author						
☐ Advance Order - # of Copies ☐ The Director is hereby author	A check in the amount of the fee(s) is enclosed.					
Deposit Account Number	☐ Payment by credit card. Form P1O-2038 is attached. ☐ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to					
	(enclose	an extra copy of this form	n).			
Change in Entity Status (from status indicated above) a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. b. Applicant is no longer claim.		See 37 CFR 1 27(a)(2)				
OTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applications as shown by the records of the United States Patent and Trademark Office.	ng SMALL ENTITY status	the application identified agent; or the assignee or o	above. other party i			
Authorized Signature Da						
Typed or printed name Re	previously paid issue fee to tant; a registered attorney or a					
his collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a beneficiation. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to abmitting the completed application form to the USPTO. Time will vary depending upon the individual cases form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Is low 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS Alexandria (Virginia 22317-1450).	previously paid issue fee to t ant; a registered attorney or a		و د			

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTOL-85 (Rev. 07/05) Approved for use through 04/30/2007.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE OMB 0651-0033

11



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO. FILING DATE		FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
09/942,609	08/31/2001	Soren Riis	1030.40616X00	7485		
020457	7590 01/09/2006		EXAM	IINER		
ANTONELLI, TERRY, STOUT & KRAUS, LLP			ALBERTALLI,	ALBERTALLI, BRIAN LOUIS		
1300 NORTH SI SUITE 1800	EVENTEENTH STRE	ET	ART UNIT	PAPER NUMBER		
ADI DICTON VA 22200 2872		265				

DATE MAILED: 01/09/2006

DATE MAILED. 01/09/2000

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 541 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 541 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571) 272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

and the control of th